



Status: Patented, Pending, Abandoned

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

Application Ser. No.

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) is attached hereto or \underline{X} was filed on $\underline{May 25, 2002}$ as Application Serial No. $\underline{09/866,034}$ and was amended on $\underline{\ }$ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a

filling date before that of the application on which priority is claimed: Prior Foreign Application(s): Priority Claimed 4# ## ## Yes No Number Day/Month/Year Filed Country Thereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below: See Attached Appendix A (listing 13 U.S. Provisional Patent Applications) Application Ser. No. Filing Date I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application: See Attached Appendix B (listing 10 PCT Patent Applications) Application Ser. No. Filing Date Status: Patented, Pending, Abandoned

Filing Date





POWER OF ATTORNEY: As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

00157

PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

Attn: Mark T. Kresnak, Ph.D. Telephone: (650) 225-4461

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Full name of fourth joint inventor, if any

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Full name of eighth joint inventor, if any James Pan Inventor's signature Date Residence 2705 Coronet Boulevard, Belmont, California 94002 Citizenship CA Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of ninth joint inventor, if any Margaret Ann Roy Inventor's signature Date Residence 2960 Webster Street #4, San Francisco, California 94123 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Fulliname of tenth joint inventor, if any Timothy A. Stewart Inventor's signature Date 465 Douglass Street, San Francisco, California 94114 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of eleventh joint inventor, if any **Daniel Tumas** Inventor's signature Date Residence 3 Rae Avenue, Orinda, California 94563 Citizenship US





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Colin K. Watanabe

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Full name of thirteenth joint inventor, if any	
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Inventor's signature	Date
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APPENDIX A

Thereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications listed below:

Serial No. 60/095,325 filed August 4, 1998; Serial No. 60/112,851 filed December 16, 1998; Serial No. 60/113,145 filed December 16, 1998; Serial No. 60/113,511 filed December 22, 1998; Serial No. 60/115,558 filed January 12, 1999; Serial No. 60/115,565 filed January 12, 1999; Serial No. 60/115,733 filed January 12, 1999; Serial No. 60/119,341 filed February 9, 1999; Serial No. 60/119,537 filed February 10, 1999; Serial No. 60/119,965 filed February 12, 1999; Serial No. 60/162,506 filed October 29, 1999; Serial No. 60/170,262 filed December 9, 1999;

Senal No. 60/187,202 filed March 3, 2000.

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APPENDIX B

I hereby claim the benefit under Title 35, United States Code, §120 of any United States and PCT applications listed below:

Serial No. PCT/US99/12252 filed June 2, 1999;

Serial No. PCT/US99/28634 filed December 1, 1999;

Serial No. PCT/US99/28551 filed December 2, 1999;

Serial No. PCT/US00/03565 filed February 11, 2000;

Serial No. PCT/US00/04414 filed February 22, 2000;

Serial No. PCT/US00/05841 filed March 2, 2000;

Serial No. PCT/US00/08439 filed March 30, 2000;

Serial No. PCT/US00/14941 filed May 30, 2000;

Serial No. PCT/US00/15264 filed June 2, 2000;

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Serial No. PCT/US00/32678 filed December 1, 2000.





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Prior Foreign Ap	plication(s):					Dei o eite.	Claim and
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7 1.3	benefit under Title 35, U			States provisio	nalapplication	ons(s) li	sted below
See Attached A	ppendix A (listing 13	U.S. Provisional Pate	ent Applications)				
Application Ser.	No.	Filing Date					
I hereby claim the	benefit under Title 35,	United States Code &:	120 of any United S	tates application	one(e) lieted	bolows	and incote
provided by the fit defined in Title 3	atter of each of the claim rst paragraph of Title 35 7, Code of Federal Reg international filing date	ns of this application is i 5, United States Code, i Julations, §1.56 which	not disclosed in the §112. Lacknowled	prior United State the duty to di	lates applica	ation in t	the manne
See Attached A	ppendix B (listing 10	PCT Patent Applicati	ione)				
Application Ser.		Filing Date		: Patented, Pe	ending, Aba	ndoned	
Application Ser. I	No.	Filing Date	Status	: Patented, Pe	ending, Abai	ndoned	
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See Attached A	Appendix A (listing 13	3 U.S. Provisional Pate	nt Applications)			
Application Ser.		Filing Date			, <u>, , , , , , , , , , , , , , , , , , </u>	especial proposition of the second contract contracts of the secon
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See Attached A	Appendix B (listing 10	PCT Patent Application	ons)			
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Full name of eleventh joint inventor, if any	
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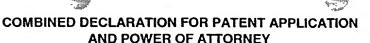




Full name of twelfth joint inventor, if any

Colin	K.	Watanabe
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Inventor's signature	Date	
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Prior Foreign Ap	pplication(s):			Priority Claimed Yes No
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Margaret Ann Roy	
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	Date
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Citizenship	
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Post Office Address	
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Sế tị h San Francisco, CA 94080	
Full name of tenth joint inventor, if any	
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Timethy A. Stewart	
Inventor's signature	Costs
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E 35	
Residence	
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Citizenship	
US	
Post Office Address	
1 DNA Way	
South San Francisco, CA 94080	
Full name of eleventh joint inventor, if any	
Daniel Tumas	
Inventor's signature	Date
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Citizenship	
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Full name of twelfth joint inventor, if any

Colin K. Watanabe

Inventor's signature	Date
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Citizenship	
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Post Office Address 1 DNA Way	
South San Francisco, CA 94080	
Full name of thirteenth joint inventor, if any	
William I. Wood	
Inventor's signature	Date
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Residence	
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Citizenship	
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Post Office Address	
1 DNA Way South San Francisco, CA 94080	
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COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

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Full name of sole or first inventor		
David Botstein		
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Sherman Fong Inventor's signature Date Residence 19 Basinside Way, Alameda, California 94502 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of fifth joint inventor, if any Wei-Qiang Gao Inventor's signature 8/14/200 Residence(641 Pilgrim Drive Foste Citizenship USA Post Office Address 1 DNA Way South San Francisco, CA 94080 Fullname of sixth joint inventor, if any Audrey Goddard Inventor's signature Date Residence 110 Congo Street, San Francisco, California 94131 Citizenship CA Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of seventh joint inventor, if any Austin L. Gurney Inventor's signature Date Residence 1 Debbie Lane, Belmont, California 94002 Citizenship US Post Office Address





Full name of eighth joint inventor, if any

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James Pan Inventor's signature Date Residence 2705 Coronet Boulevard, Belmont, California 94002 Citizenship CA Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of ninth joint inventor, if any Margaret Ann Roy Inventor's signature Date Residence 2960 Webster Street #4, San Francisco, California 94123 Citizenship UŞįį Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of tenth joint inventor, if any Timothy A. Stewart Inventor's signature Date 465 Douglass Street, San Francisco, California 94114 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of eleventh joint inventor, if any **Daniel Tumas** Inventor's signature Date Residence 3 Rae Avenue, Orinda, California 94563 Citizenship US Post Office Address 1 DNA Way





Full name of twelfth joint inventor, if any

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Full name of thirteenth joint inventor, if any	
William I. Wood	
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Full name of fourth joint inventor, if any

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Full name of sixth joint inventor, if any	
Audrey Goddard	
Inventor's signature	Date
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ull name of seventh joint inventor, if any	
Austin L. Gurney	
nventor's signature	Date
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Full name of twelfth joint inventor, if any

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Full name of thirteenth joint inventor, if any	
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	Date
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Full name of fourth joint inventor, if any

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Inventor's signature	Date
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Full name of fifth joint inventor, if any	
Wei-Qiang Gao	
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Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full-name of sixth joint inventor, if any Audrey Goddard	
Inventor's signature	Date
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Full name of seventh joint inventor, if any	
Austin L. Gurney	
Inventor's signature	Date Date
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Citizenship US

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Page 4 Full name of eighth joint inventor, if any James Pan Inventor's signature Date Residence 2705 Coronet Boulevard, Belmont, California 94002 Citizenship CA Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of ninth joint inventor, if any Margaret Ann Roy Inventor's signature Date Residence 2960 Webster Street #4, San Francisco, California 94123 Citizenship บร Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of tenth joint inventor, if any Timothy A. Stewart Inventor's signature Date Residence 465 Douglass Street, San Francisco, California 94114 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of eleventh joint inventor, if any **Daniel Tumas** Inventor's signature Date Residence 3 Rae Avenue, Orinda, California 94563





Colin K. Watanabe

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Residence	
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Full name of thirteenth joint inventor, if any	
William I. Wood	
Inventor's signature	Date
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Prior Foreign A	application(s):			Priorit	y Claimed
H State				Yes	No
Number	Country	Day/Month/Yea			
F 1 1		, United States Code, §1196 3 U.S. Provisional Patent		ional applications(s)	listed below:
Application Ser	r. No.	Filing Date			
provided by the defined in Title	first paragraph of Title	5, United States Code, §120 tims of this application is not 35, United States Code, §1 egulations, §1.56 which oc te of this application:	disclosed in the prior United 12. Lacknowledge the duty to	States application in	the manner
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Application Ser	Appendix A (listing 1)	3 U.S. Provisional Pa	tent Applic	ations)	····					_
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defined in Title 3	37, Code of Federal Re	egulations, §1.56 which	occurred b	etween ti	ne filina	date of	the pric	arenai iini Tannlinai	Jimation	ao ho
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Application Ser.	No.	Filing Date		Status:	Patente	d, Pen	ding, Al	bandoned	Ł	

Status: Patented, Pending, Abandoned

Filing Date







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PATENT TRADEMARK OFFICE

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Attn: Mark T. Kresnak, Ph.D. Telephone: (650) 225-4461

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Colin K. Watanabe

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Inventor's signature	Date
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COMBINED ECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

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filing date before that of the application on which priority is claimed: Prior Foreign Application(s): **Priority Claimed** Yes No Number Country Day/Month/Year Filed Thereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below: See Attached Appendix A (listing 13 U.S. Provisional Patent Applications) Application Ser. No. Filing Date Thereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, Lacknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application: See Attached Appendix B (listing 10 PCT Patent Applications) Application Ser. No. Filing Date Status: Patented, Pending, Abandoned Application Ser. No. Filing Date Status: Patented, Pending, Abandoned





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Full name of third joint inventor, if any		
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James Pan Inventor's signature Date Residence 2705 Coronet Boulevard, Belmont, California 94002 Citizenship CA Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of ninth joint inventor, if any Margaret Ann Roy Inventor's signature Date Residence 2960 Webster Street #4, San Francisco, California 94123 Citizenship US Post Office Address
1 DNA Way South San Francisco, CA 94080 Full name of tenth joint inventor, if any Tirriothy A. Stewart Inventor's signature Date 9.24.01 Residence 465 Douglass Street, San Francisco, California 94114 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of eleventh joint inventor, if any **Daniel Tumas** Inventor's signature Date Residence 3 Rae Avenue, Orinda, California 94563 Citizenship US Post Office Address 1 DNA Way



Colin K. Watanabe

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Full name of thirteenth joint inventor, if any	
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	duty to disclose infor Regulations, Section		ial to the examination o	of this application in ac	cordance w	vith Title 37
inventor's certifica	te listed below and h	under Title 35, United ave also identified bel on on which priority is	States Code, §119(a)- ow any foreign applica claimed:	(d) of any foreign appl tion for patent or inven	ication(s) fo tor's certific	or patent o cate have a
Prior Foreign App	lication(s):				Priority Yes	Claimed No
Number	Country	Day/Mont	h/Year Filed		AND ASSESSMENT OF THE PARTY OF	
# 4F F 9	pendix A (listing 1	United States Code, 3 U.S. Provisional P Filing Date	§119(e) of any United S atent Applications)	States provisional appli	cations(s) li	sted below
as the subject mat provided by the firs defined in Title 37	ter of each of the cla st paragraph of Title , Code of Federal R	ims of this application 35, United States Coc	,§120 of any United St is not disclosed in the p le,§112, I acknowledg ch occurred between t	orior United States app e the duty to disclose r	olication in t naterial info	the manne ormation a
See Attached Ap	pendix B (listing 1	0 PCT Patent Applic	eations)			
Application Ser. N	lo.	Filing Date		Patented, Pending, A	Abandoned	í
Application Ser. N	lo.	Filing Date	Status:	Patented, Pending, A	Abandoned	i





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3550, 5001, 101,0300, 07, 34000	





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Priority Claimed

No

Yes



As a below named inventor, I hereby declare that:

Prior Foreign Application(s):

Application Ser. No.

Country

See Attached Appendix A (listing 13 U.S. Provisional Patent Applications)

Filing Date

Number

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Day/Month/Year Filed

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Docket No. P2930R1C1

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I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

Lacknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a

filing date before that of the application on which priority is claimed: Prior Foreign Application(s): Priority Claimed Yes No Number Country Day/Month/Year Filed Thereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below: See Attached Appendix A (listing 13 U.S. Provisional Patent Applications) Application Ser. No. Filing Date I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application: See Attached Appendix B (listing 10 PCT Patent Applications) Application Ser. No. Filing Date Status: Patented, Pending, Abandoned Application Ser. No.

Status: Patented, Pending, Abandoned

Filing Date





POWER OF ATTORNEY: As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

09157
PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

Attn: Mark T. Kresnak, Ph.D. Telephone: (650) 225-4461

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from his foreign patent agent as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

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